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## **Overview**

In the last two lectures, we've thoroughly covered the popular Transformer architecture for sequence-to-sequence modeling, representation learning, and autoregressive generation. Before we jump into **HW 3: Transformers**, let's warm up with reviewing and implementing the logic of the architecture in pseudocode.

At any point in time, feel free to review both the [Attention Is All You Need](https://proceedings.neurips.cc/paper_files/paper/2017/file/3f5ee243547dee91fbd053c1c4a845aa-Paper.pdf) paper or the Homework 3 informational document that was sent to you. We **highly** recommend not using LLM-based tools for this assignment—this is purely for your understanding, and you'll greatly benefit from thinking through all the nitty-gritty details yourself.

If you have ANY questions at all, do **not** hesitate to ask any Edu staff! We hope this will aid your intuition of the architectural concepts before starting to code!

## **Background Questions**

- 1. What is the input to the Encoder?
- 2. What are the two main components in an Encoder layer?
	- a. Briefly describe what they do.
- 3. What is the output of the Encoder?

## **Multi-Head Attention**

You are given the following class and \_\_init\_\_ function signature on the next page.

First, briefly answer the following questions about Multi-Head Attention:

- 1. What do Q, K, and V represent?
- 2. What are the shapes of the Q, K, and V matrices when first inputted into the Multi-Head Attention module? Since we want to repeat this operation in parallel num\_heads times, how should we project (i.e., apply a linear transformation to) each Q, K, and V matrices? What will be the shape after the projections?

Note: Assume that you have two values: qk\_length and value\_length that represent the length of your query/key embeddings and value embeddings. You can generalize this length to be vec length, which will be qk length or value length based on if you are working on Q, K or V.

Note: While during lecture it makes sense to consider our embeddings as having a size of  $(B, C, T)$ , we prefer to use the inverse format of  $(B, T, C)$  in code (hint: look at the torch.nn.Linear documentation for why this may be the case—if unclear, please ask!).

- 3. After these projections, we need to make each of the Q, K, V tensors suitable for parallel processing of each head. To do this, we use a split heads function. What are the initial and final shapes of Q, K, and V tensors for this function?
- 4. Why do we scale the output of the dot product attention by a factor of  $\frac{1}{\sqrt{q k \lfloor \log th \rfloor}}$ ? What would happen if we didn't have this scaling factor?
- 5. After we have the scaled dot-product attention result (reminder: shape will be  $(B, num\_heads, T, value\_length)$  since we've also multiplied with the V tensor), we need to complete the Concat and Linear part of the module. We implement the Concat part as a combine\_heads function. What will be the shape before and after this function?

Now based on your understanding of Multi-Head Attention layers, write the remaining pseudocode for the \_\_init\_\_\_function:

```
class MultiHeadAttention(nn.Module):
     def __init__(self,
                  num_heads: int,
                  embedding_dim: int,
                  qk_length: int,
                  value_length: int
) :
 """
         The Multi-Head Attention layer will take in Q, K, and V
         matrices and will output an attention matrix of shape <TODO>.
         First, Q, K, and V should be projected to have
        a shape of (B, T, C) where C = num\_heads * qk\_length. You are
         then expected to split the C dimension into num_heads
         different heads, each with shape (B, T, qk_length).
         Next, you will compute the scaled dot-product attention
         between Q, K, and V.
         Finally, you will concatenate the heads and project the
         output to have a shape of (B, T, C).
        \bar{0} "" \bar{0} super().__init__()
        self.num heads = num heads
         self.embedding_dim = embedding_dim
         self.qk_length = qk_length
        self.value length = value length
         # Define layers you'll need in the forward pass (hint: there are 4 lol)
         # Note: these are learnable parameters and will change 
         # throughout the model training process
 _________________________________________________________________
```
 \_ \_ \_ Recall our discussion about the attention layer and how it performs a "lookup" given a query against our set of keys. Then, we get the corresponding values based on our lookup. Write the remaining



 # Applying the attention layer  $attention =$ # Combining the mutliple "heads"

 # Applying the respective layer to the attention output  $attention = \_$ 

 $attention = \_$ 

 $\overline{\phantom{a}}$  ,  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  ,  $\overline{\phantom{a}}$  $\overline{\phantom{a}}$  ,  $\overline{\phantom{a}}$ 

return attention